DM11-223

### PUC 2006.02 Form for Initial Registration of Aggregators

- 1. Legal Name: RJT Energy Consultants, LLC (will not use any trade name)
- 2. Business Address:

RJT Energy Consultants, LLC 110 Washington Avenue, 4<sup>th</sup> Floor North Haven, CT 06473 203 234-6390 www.rjtenergy.com

3. Applicant's Principals:

Thomas O'Connell, Chief Financial Officer (Member) (203) 234-6341; tucker@rjtenergy.com

John Abella, President (Member) (203) 234-6342; johnabella@rjtenergy.com

Richard Katz, Vice President of Sales (Member) (203) 234-6390; rkatz@rjtenergy.com

All located at: 110 Washington Avenue, 4<sup>th</sup> Floor North Haven, CT 06473

4. Customer Service: (877) 209-8152 Contact: Richard Katz, VP Sales: rkatz@rjtenergy.com

- 5. Applicant's authorization to conduct business in New Hampshire Attached: ID # 657048
- 6. Service Area: RJT Energy Consultants, LLC intends to provide service to all geographic areas of New Hampshire for commercial, industrial, municipal, governmental and residential use.
- 7. RJT Energy Consultants, LLC does not represent the interest of any one supplier. RJT works with over 20 suppliers to find the best solutions for its customers.

8. Filing Fee: \$250.000 is enclosed

9. Signature: Thomas O'Connell

Member, RJT Energy Consultants, LLC



## State of New Hampshire

# Department of State Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at <u>corporate@sos.state.nh.us</u>. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State Corporation Division

Business ID#: 657048

# State of New Hampshire

Filed Date Filed: 08/15/2011 Business ID: 657048 William M. Gardner Secretary of State

Filing fee: \$ 50.00 Fee for Form SRA: \$ 50.00 Total fees: \$ 50.00

Form FLLC-1 RSA 304-C:12

### APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

DITTEMPLE .	
FIRST: The name of the limited liability company is RJT Energy Consultants, LLC	
	'
SECOND: The name which it proposes to register and do business in New Hampshire is	
RJT Energy Consultants, LLC	
TOT DIVING CONSTRUITS, ELC	
·	
TIMP. It is formal and to the large of Comments of	
THIRD: It is formed under the laws of Connecticut	—- <b>'</b>
FOURTH: The date of its formation is September 22, 2009	
1 October 11. The date of its formation is depended 22, 2009	·
FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is	
to act as an Aggregator for electricity and natural gas. We will not take title to the electricity or natural gas.	
	·
SIXTH: The name of its registered agent in New Hampshire is	
ullet	
National Registered Agents, Inc.	<u></u> .
and the street address, town/city (including zip code and post office box, if any) of its registered office	; is
(agent's business address in New Hampshire)	
63 Pleasant Street, Concord, NH 03301	
SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the	
requirements of the New Hampshire Uniform Securities Act (RSA 421-B).	
State of New Hampshire  Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)	
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### APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

*Signature:	Thomas Caroll
Print or type name:	Thomas O'Connell
Title:	Member, Chief Financial Officer
Date signed:	august 11, 2011
Complete address of person signing:	RJT Energy Consultants, LLC
	110 Washington Avenue, 4th Floor
	North Haven CT 06473

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED AND SIGNED ORIGINAL</u>, <u>CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA</u> to: Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.

#### Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof, DO HEREBY CERTIFY, that articles of organization for

#### RJT ENERGY CONSULTANTS, LLC

a domestic limited liability company, were filed in this office on September 22, 2009.

Articles of dissolution have not been filed, and so far as indicated by the records of this office such limited liability company is in existence.

Secretary of the State

Date Issued: August 09, 2011

Business ID: 0983455

Express

Certificate Number: 2011206070002

Note: To verify this certificate, visit the web site http://www.concord.sots.ct.gov

# Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

### Part I - Business Identification and Contact Information Business Name: RJT Energy Consultants, LLC Business Address (include city, state, zip): 110 Washington Avenue, 4th Floor, North Haven, CT 06473 (203) 234-6390 Telephone Number: \_\_ E-mail: Contact Person: Thomas O'Connell Contact Person Address (if different): Part II - Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]: Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements: A) This business has 10 or fewer owners; and B) Advertising relating to the sale of ownership interests has not been circulated; and C) Sales of ownership interests - if any - will be completed within 60 days of the formation of this business. This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation -This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire. Part III - Check ONE of the following items in Part III: This business is not being formed in New Hampshire. This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act. Part IV - Certification of Accuracy (NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership, or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.) I (We) certify that the information provided in this form is true and complete. (Original signatures only) Thomas O'Connell Signature: Name (print): Date signed: Richard Katz Name (print): Signature: Date signed: John Abella Name (print): Signature: Date signed: